

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Tomoko OHTSUKI ET AL.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: LIGHT SOURCE UNIT AND WAVELENGTH STABILIZING CONTROL METHOD, EXPOSURE APPARATUS AND EXPOSURE METHOD, METHOD OF MAKING EXPOSURE APPARATUS, AND DEVICE  
MANUFACTURING METHOD AND DEVICE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449's and International Search Report. Copies of the listed references were filed in prior application Serial No. 09/831,345, filed June 28, 2001 where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Masayasu Mori

Registration No. 47,301



22850

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.  240394US-90 CONT		SERIAL NO.  NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT  Tomoko OHTSUKI, et al.			
FILING DATE  HEREWITH				GROUP			

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,909,306	06/01/99	L. GOLDBERG, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

  

FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO
	AO	WO 99/46835	09/16/99	WIPO (with English Abstract)	X
	AP				
	AQ				
	AR				
	AS				
	AT				
	AU				
	AV				

  

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)	
	AW
	AX
	AY
	AZ

  

Examiner	Date Considered
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\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 240394US-90 CONT		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Tomoko OHTSUKI, et al.			
FILING DATE HEREWITH				GROUP			

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	4,790,619	12/13/88	M. E. LINES, et al.			
	AB	4,923,279	05/08/90	B. J. AINSIE, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

  

FOREIGN PATENT DOCUMENTS						
DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
AO	8-334803	12/17/96	JAPAN			
AP	0 889 335	01/07/99	EUROPE			
AQ	0 859 435	08/19/98	EUROPE			
AR	4-22928	01/27/92	JAPAN			
AS	3-235924	10/21/91	JAPAN			
AT	5-291676	11/05/93	JAPAN			
AU	5-37066	02/12/93	JAPAN			
AV	59-55083	03/29/84	JAPAN			
	55-44758	03/29/80	JAPAN			
	54-125189	09/28/79	JAPAN			
	11-4031	01/06/99	JAPAN			

  

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)	
AW	
AX	
AY	
AZ	

  

Examiner	Date Considered
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
240394US-90 CONTSERIAL NO.  
NEW APPLICATION

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Tomoko OHTSUKI ET AL.FILING DATE  
HEREWITH

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2001/0048083	12/06/01	HAGIWARA			
	AB	6,400,871	06/04/02	MINDEN			
	AC	5,838,709	11/17/98	OWA			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

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